



PATENT

Attorney Docket No. 33816/US/RBC/VEJ
Attorney Matter No. 463678-00228
Application No. 10/726,220

1FW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Gerry MAVIN

Application No. 10/726,220

Filed: **December 1, 2003**

For: **METHOD AND APPARATUS FOR
APPLYING A THREADED CAP TO
A THREADED NECK OF A
CONTAINER**

Art Unit: **3721**

Examiner: **To be assigned**

Docket No.: **33816/US/RBC/VEJ**

Certificate of Mail (37 C.F.R. § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal service on the date shown below with sufficient postage as first class mail in an envelope addressed to Mail Stop AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 6-27-04

Patricia A. Diehl
Patricia A. Diehl

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is hereby submitted in accordance with 37 CFR 1.98 and pursuant to Applicant's continuing duty under 37 CFR 1.56 to bring any information which may be material to patentability of this application to the Examiner's attention. The Examiner's attention is directed to the reference(s) cited on the accompanying substitute for form PTO-1449A/PTO. Copies of the cited references are enclosed, unless otherwise noted below. It is further understood that the Examiner will also consider information that was cited or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. § 120. Notice of April 20, 1992, 1138 OG 37-41, at 37; M.P.E.P. § 609 (I)(A)(2).

Applicant makes no representation that a search has been conducted by the Applicant, or that there is not possibly more relevant art. Applicant also makes no representation that the information submitted herewith is in fact material to patentability. The filing of this Information Disclosure

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Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 11 O.G. 13-25, at 25.

This Information Disclosure Statement is being filed within three months of the filing date of a national application other than a continued prosecution application, within three months of the date of entry of a national stage, before the mailing date of a first Office action on the merits, or before the mailing date of a first Office action after the filing date of request for continued examination. 37 C.F.R. § 1.97(b). No fee is required.

A concise explanation of the relevance of the non-English language document(s) appears below:

- ☒ Cite no. A11, DD 288 815 A5 was cited in a search report by a foreign patent office in a counterpart foreign application and is directed to "Anordnung zum Ausrichten und Aufschrauben eines Flaschenverschlusses" (roughly translated as "an arrangement for aligning and unscrewing a bottles fastener"). See enclosed European Search Report for European Application No. EP 03 25 7586.

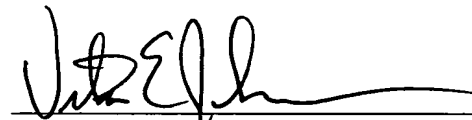
The Commissioner is hereby authorized to charge any underpayment of the following fees associated with this communication, including any necessary fees for extension of time, or credit any overpayment to Deposit Account No. 50-2319 (Matter No. 463678-00228; Docket No. 33816/US/RBC/VEJ).

Respectfully submitted,

Date:

6/29/2004

By:



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Substitute for form 1449 PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	10/726,220
Filing Date	December 1, 2003
First Named Inventor	Gerry MAVIN
Group Art Unit	3721
Examiner Name	To be assigned
Attorney Docket Number	33816/US/RBC/VEJ

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	4,765,119	08-23-1988	Aidlin et al.	
	A2	6,105,343	08-22-2000	Grove et al.	
	A3	6,158,196	12-12-2000	Trebbi et al.	
	A4				
	A5				
	A6				
	A7				
	A8				
	A9				
	A10				

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent Document Country Code ² Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	A11	DD 288 815 A5	04-11-1991	VEB Forsch Rationalisierung Lacke		
	A12	EP 0 618 168 A1	10-05-1994	GEI Filling Capping & Labelling Ltd		
	A13	EP 1 132 331 A1	09-12-2001	Shibuya Kogyo Co. Ltd		
	A14	EP 1 249 426 A1	10-16-2002	Shibuya Kogyo Co. Ltd		
	A15	GB 732,653	06-29-1955	G.D. Peters & Co. Ltd.		
	A16	GB 2 121 390 A	12-21-1983	Metal Closures Ltd		
	A17					
	A18					
	A19					
	A20					

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
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	A22		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

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